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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	09/964,133
	<b>Filing Date</b>	September 25, 2001
	<b>First Named Inventor</b>	William Stevens Taber Jr.
	<b>Title</b>	Large Scale Procurement of Energy Efficiency Resources
	<b>Group Art Unit</b>	3629
	<b>Examiner Name</b>	Borissov, Igor, N.
	<b>Attorney Docket Number</b>	062787-5001US (020162-000310US)

I hereby appoint:

☒ Practitioners at Customer Number  
OR

43850

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☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☐ The above-mentioned Customer Number.  
OR

☐ Firm or  
Individual Name

Address

City

State

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Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name William Stevens Taber, Jr., Chairman of the Board

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/96 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Attorney Docket No. 20162-000310US

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: William Stevens Taber, Jr.

Application No./Patent No.: 09/964,133

Filed/Issue Date: September 25, 2001

Entitled: LARGE SCALE PROCUREMENT OF ENERGY EFFICIENCY RESOURCES

Princeton Development Corporation

a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6 June 2002  
Date

William Stevens Taber, Jr.

Typed or printed name

Signature

Chairman of the Board

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1346977 v1

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GROUP 3600



UNITED STATES  
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020162-000 31005 JM

DECEMBER 13, 2002

PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
JEFFRY S. MANN  
TWO EMBARCADERO CENTER, 8TH FLOOR  
SAN FRANCISCO, CA 94111-3834

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
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\*102226735A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/16/2002

REEL/FRAME: 013293/0639  
NUMBER OF PAGES: 4

BRIEF: CORRECTED RECORDATION FORM COVER SHEET TO CORRECT ASSIGNEE'S NAME, PREVIOUSLY RECORDED AT REEL/FRAME 013033/0706 (ASSIGNMENT OF ASSIGNOR'S INTEREST)

ASSIGNOR:  
TABER, JR, WILLIAM STEVENS

DOC DATE: 06/06/2002

ASSIGNEE:  
PRINCETON DEVELOPMENT CORPORATION  
860 BUTTERFIELD LANE  
SAN ANSELMO, CALIFORNIA 94960

SERIAL NUMBER: 09964133  
PATENT NUMBER:

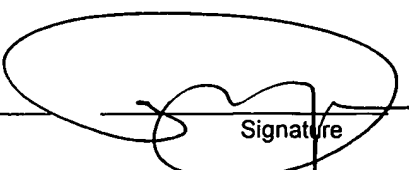
FILING DATE: 09/25/2001  
ISSUE DATE:

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
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9/16/02

09-19-2002

Attorney Docket No. 20162-000310US

Form PTO-1595 (Rev. 03-01) OMB No. 0651-0027 (exp. 5/31/2002)		Col	Sheet		U.S. Department of Commerce U.S. Patent and Trademark Office
Tab settings >>>▼		102226735		▼	
To the Honorable Commissioner of Patents and Trademarks. Please return attached original documents or copy thereof					
1. Name of conveying party(ies):  William Stevens Taber Jr.		2. Name and address of receiving party(ies)  Name: <u>Princeton Development Corporation</u>  Street Address: <u>860 Butterfield Lane</u>  City: <u>San Anselmo</u> State: <u>CA</u> ZIP: <u>94960</u>			
Additional name(s) of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.		Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other: _____					
Execution Date: <u>June 6, 2002</u>					
4. Application number(s) or patent number(s).  If this document is being filed together with a new application, the execution date of the application is: _____					
A. Patent Application No(s): <u>09/964,133</u>		B. Patent No(s): _____			
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: <u>Jeffry S. Mann</u> <u>TOWNSEND AND TOWNSEND AND CREW LLP</u> <u>Two Embarcadero Center, 8<sup>th</sup> Floor</u> <u>San Francisco, California 94111-3834</u> <u>(415) 576-0200</u>		6. Total number of applications and patents involved <u>1</u>			
		7. Total fee (37 CFR 3.41): _____ \$40.00  <input type="checkbox"/> Enclosed  <input checked="" type="checkbox"/> Authorized to be charged to deposit account			
		8. Deposit account number: <u>20-1430</u>  (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i>					
<u>Jeffry S. Mann</u> Name of Person Signing Atty. Reg. No. 42,837		 Signature		<u>9/10/02</u> Date	
Total number of pages including cover sheet, attachments and document <u>3</u>					

Mail documents to be recorded with required cover to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

SF 1384096 v1

( 09/18/2002 8TON11 00000130 201430 09964133  
01 FC:581 40.00 CH )

07-02-2002



orney Docket No. 20162-000310US

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

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JUN 24 AM 9:17

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

William Stevens Taber

FINANCE SECTION

6-24-02

Additional name(s) of conveying parties attached? ☐ Yes ☒ No.

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_

Execution Date: June 6, 2002

2. Name and address of receiving party(ies)

Name: Princeton Development Corporation

Street Address: 860 Butterfield Lane

City: San Anselmo State: CA ZIP: 94960

Additional names and addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 09/964,133

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry S. Mann  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Jeffry S. Mann

Name of Person Signing  
Atty. Reg. No. 42,837

Signature

Date

Total number of pages including cover sheet, attachments and document 3

Mail documents to be recorded with required cover to:  
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Washington, D.C. 20231

07/01/2002 TDI A21 00000093 201430 09964133

01 FC:581 40.00 CH

**ASSIGNMENT OF PATENT APPLICATION**

SOLE

WHEREAS, William Stevens Taber, Jr. of 860 Butterfield, San Anselmo, CA 94960; hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:      **LARGE SCALE PROCUREMENT OF ENERGY  
EFFICIENCY RESOURCES**

Date(s) of execution of Declaration:    June 6, 2002

Filing Date:                September 25, 2001

Application No.:            09/964,133; and

WHEREAS, Princeton Development Corporation, a corporation of California, located at 860 Butterfield Lane, , San Anselmo, CA, 94960, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

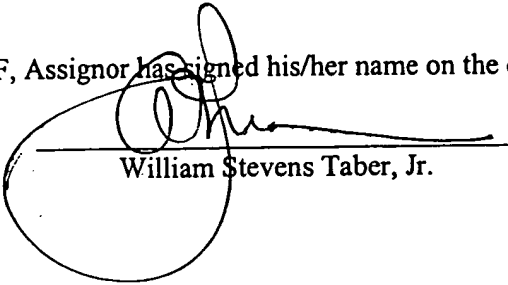
Assignment

Attorney Docket No.: 020162-000310US

Page 2

IN TESTIMONY WHEREOF, Assignor ~~has signed~~ his/her name on the date indicated.

Dated: 6 June 2002

  
William Stevens Taber, Jr.

STATE OF CALIFORNIA )

ss.

COUNTY OF MARIN )

On June 6<sup>th</sup> 2002, before me, Dustin Ortiz,  
personally appeared William Stevens Taber, Jr., ~~personally known to me~~ (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and  
acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~  
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

  
NOTARY PUBLIC

My Commission Expires: Apr. 15, 2006

SF 1346952 v1





UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

020162-000310 USJSM

AUGUST 29, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

TOWNSEND AND TOWNSEND AND CREW LLP  
JEFFRY S. MANN  
TWO ENBARCADERO CENTER  
8TH FLOOR  
SAN FRANCISCO, CA 94111-3834



\*102141686A\*

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RECORDATION DATE: 06/24/2002

REEL/FRAME: 013033/0706  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TABER, WILLIAM STEVENS

DOC DATE: 06/06/2002

ASSIGNEE:

PRINCETON DEVELOPMENT CORPORATION  
860 BUTTERFIELD LANE  
SAN ANSELMO, CALIFORNIA 94960

SERIAL NUMBER: 09964133  
PATENT NUMBER:

FILING DATE: 09/25/2001  
ISSUE DATE:

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Docket No.: 020162-000310US

On September 10, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: *Claudio Chen*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

William Stevens Taber, Jr.

Application No.: 09/964,133

Filed: September 25, 2001

For: LARGE SCALE PROCUREMENT  
OF ENERGY EFFICIENCY  
RESOURCES

Examiner:

Art Unit: 2161

REQUEST FOR CORRECTED NOTICE  
OF RECORDATION OF ASSIGNMENT  
DOCUMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed are copies of a Notice of Recordation of Assignment dated August 29, 2002, a Recordation Cover Sheet and Assignment which the Applicant received in the above-identified patent application for which a corrected Notice of Recordation of Assignment is respectfully requested.

The Assignee's name should be corrected to read as follows:

**William Stevens Taber, Jr.**

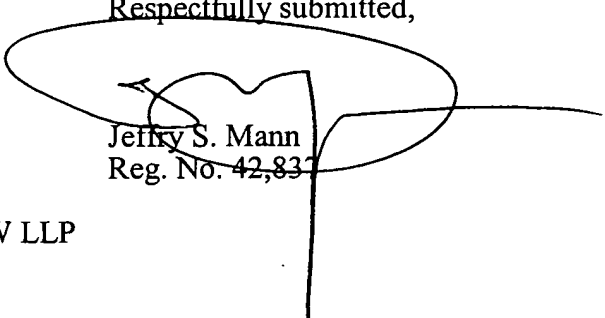
**A Corrected Recordation Cover Sheet** is also submitted herewith.

William Stevens Taber, Jr.  
Application No.: 09/964,133  
Page 2

PATENT

Please charge any underpayments or credit any amounts to Deposit  
Account #20-1430. This request is filed in triplicate.

Respectfully submitted,



Jeffrey S. Mann  
Reg. No. 42,831

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
Fax: (415) 576-0300  
JSM:clc

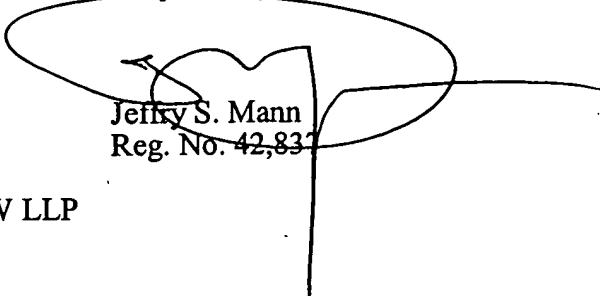
SF 1384099 v1

William Stevens Taber, Jr.  
Application No.: 09/964,133  
Page 2

PATENT

Please charge any underpayments or credit any amounts to Deposit  
Account #20-1430. This request is filed in triplicate.

Respectfully submitted,



Jeffrey S. Mann  
Reg. No. 42,837

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
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